

**UCOR Fiscal Year 2013
ISMS Performance Objectives, Measures, and Commitments**

Performance Objective	Performance Goal	Notes/Definition of Measure
Work Toward an Injury Free Workplace		
Total Recordable Case Rate	1.0	TRC Rate = TRCs ÷ (Total hours worked ÷ 200,000 hours). This chart will be presented as a 12-month rolling average beginning October 2012.
Days Away, Restricted, Transferred (DART) Rate	0.33	DART Rate = DART cases ÷ (Total hours worked ÷ 200,000 hours). This chart will be presented as a 12-month rolling average beginning October 2012.
First Aid Cases	NG	
Maintain Effective Control of Exposure to Energy, Chemicals, and Other Industrial Safety Hazards		
IH – Number of Individuals exposed to ≥ 50% of Occupational Exposure Limit (OEL) for any stressor agent	0	UCOR will report measure but will also report the maximally exposed individual as a % of OEL and stressor agent. %OEL is calculated by taking each time-weighted average, then dividing by the respective OEL, then multiplying by 100. % of OEL obtained from evaluation of approved industrial hygiene sampling surveys. The measurement takes into account the applicable protection factor of PPE used.
IH – Number of Events of individual exposure to hazardous or toxic materials without	≤ 2/ Year	

NG = No goal, reported for information and trending.

Performance Objective	Performance Goal	Notes/Definition of Measure
appropriate PPE or procedure in place.		
IS – Hazardous Energy Events with Contact	≤ 2 per Year	Failure to follow a prescribed hazardous energy control process or disturbance of a previously unknown or mislocated hazardous energy source resulting in a person contacting hazardous energy.
IS – Number of Electrical Events	≤ 2 per Year	Number of electrical safety related events as reported in ORPS. Reported with Severity Index.
IS – Electrical Safety Severity Index (period score and cumulative fiscal year score)	NG	Electrical Safety Severity Index: Measure of the severity of an electrical energy event based on an evaluation of a series of electrical factors; e.g. hazard, environment, injury, etc.
Maintain Effective Control of Exposure to Radioactive Materials		
RP - Personal Contamination Events	≤ 5 per Year And NG for Tc-99 Contamination Events	<p>Events are when an individual is identified with radioactive materials (non-radon) on skin or personal clothing at levels given below. Personal clothing includes modesty garments whether furnished by the individual or company supplied.</p> <p>Onsite Event – Contamination exceeds 5X the values for total contamination found in 10 CFR 835, Appendix D. The contamination must be based on direct measurement and not averaged over any area.</p> <p>The criterion does not apply to tritium contamination.</p> <p>Offsite Event – Contamination exceeds the value for total contamination found in 10 CFR 835, Appendix D. The contamination must be based on direct measurement and not averaged over any area. For tritium, use the values for removable contamination found in Appendix D.</p> <p>Contamination events attributed to TC-99 will only be reported until a performance history is established.</p>

NG = No goal, reported for information and trending.

Performance Objective	Performance Goal	Notes/Definition of Measure
RP – Cumulative Dose vs. ALARA Goal	≤ 4 Rem per Year	Cumulative dose is the sum of the TLD dose for UCOR and subcontractor employees on a quarterly basis. This goal may be adjusted ± based on documented ALARA and progress reviews after DOE review and approval.
Maintain Requirements for Environmental Protection		
Number of Regulatory Written Noncompliances	≤ 1 per Year	<p>Written notice from an outside environmental regulatory agency indicating a contractor facility or activity is considered to be in noncompliance with an environmental compliance schedule or requirement.</p> <p>The scope of this performance objective includes compliance with RCRA, TSCA, CAA, CWA, and EPCRA regulations for onsite work activities. Written noncompliances that are caused by lack of funding, releases from legacy contamination, actions of other DOE prime contractors/tenants or are not otherwise caused by the contractor are not within the scope of this objective. Noncompliances that are formally disputed or contested by DOE to regulatory agencies are not included until they are formally resolved.</p>
Number of Reportable Releases	≤ 1 per Year	<p>Reports to Federal, State, or local agencies/authorities initiated due to releases exceeding allowable limits in other than routine reports.</p> <p>The scope of this performance objective includes compliance with RCRA, TSCA, CAA, CWA, and EPCRA regulations for onsite work activities. Written noncompliances that are caused by lack of funding, releases from legacy contamination, actions of other DOE prime contractors/tenants or are not otherwise caused by the contractor are not within the scope of this objective. Noncompliances that are formally disputed or contested by DOE to regulatory agencies are not included until they are formally resolved.</p>

Performance Objective	Performance Goal	Notes/Definition of Measure
Maintain Effective Nuclear Safety Program		
Number of Safety Basis or TSR Violations	≤ 2 per Year	TSR – Technical Safety Requirements
Anomalous Conditions Reports (Level 1-2)	0	
Anomalous Conditions Reports (Level 3)	≤ 2 per Year	
Anomalous Conditions Reports (Level 4-5)	NG	
Number of ACRs open ≥ 30 days	NG	
12-Month Rolling Average Number of Days to Close Anomalous Conditions Reports	≤ 30 Days	
Maintain Effective Quality Assurance Program		
12-Month Cumulative percent of corrective actions for DOE generated issues/findings completed on time	≥ 90%	
Maintain a Healthy Nuclear Safety Culture		
President’s Accident Prevention Council (PAPC) Meeting Attendance Rate	≥ 80% attendance rate	This metric measures the percentage of UCOR designated senior managers in attendance at PAPC.
Management Field Presence	≥ 80%	This metric measures the percentage of UCOR Level I and Level II managers making field visits.

NG = No goal, reported for information and trending.

Performance Objective	Performance Goal	Notes/Definition of Measure
I Care/We Care – 12-Month Rolling Average % Closed within 30 days	90%	This is a measure of timeliness of response to I Care/We Care issues. Issues can be submitted through supervision or various other avenues and can be anonymous per POL-UCOR-009, <i>I Care/We Care Safety Concerns Program</i> . The IC/WC committee consisting of Union Safety Advocates and UCOR S&H representatives reviews each IC/WC and manages follow-up and closure. Results are reported monthly during the PAPC by a Union Safety Advocate.
Number of New Employee Concerns Number of Anonymous Concerns Number of DOE-Referred Employee Concerns 12-Month Rolling Average of No. of Employee Concerns	NG	This is a new metric; therefore, no goal has been established.
Number of Differing Professional Opinions (DPOs) submitted Number of DPOs open >90 days following submission	NG ≤ 2 per Year	This is a measure of timeliness of responses and closure of Differing Professional Opinions submitted per PROC-CT-1550, <i>Differing Professional Opinion Process</i> .
Maintain Effective Work Planning and Control Process – Operations S&M Program		
Work Package (WP) Compliance – No. of WP’s reviewed for compliance and No. found compliant	85% Positive	This is a measure to determine whether the work package was developed in accordance with PROC-FS-1001, <i>Integrated Work Control Program</i> . These are identified during management walkthroughs and consist of WPs prior to and following execution.
Adequate Controls – No. of WPs	85% Positive	This measure determines if hazards and controls were identified in the work

NG = No goal, reported for information and trending.

Performance Objective	Performance Goal	Notes/Definition of Measure
reviewed for adequacy and No. found adequate		package. These are identified during management walkthroughs and consist of WPs prior to and following execution.
Use of Work Packages – No. of executed WPs reviewed for compliance with WP requirements and No. found compliant	85% Positive	This is a measure to determine if work was executed in accordance with the work package. These are identified during management walkthroughs and consist of WPs following execution.
Management Presence in Field	≥ 15/Month	This metric serves as an indicator of management field visits. Note: Not all visits to the field by management are annotated on the management walkthrough form.

NG = No goal, reported for information and trending.



UCOR
URS | CH2M
Oak Ridge LLC

P.O. Box 4699 ♦ Oak Ridge, TN 37831

DOE Contract No. DE-SC-0004645

UCOR-13-0801

July 11, 2013

Mr. James D. Kopotic
Portfolio Federal Project Director
Oak Ridge Office of Environmental Management
U.S. Department of Energy, EM-90
Post Office Box 2001
Oak Ridge, Tennessee 37831

Dear Mr. Kopotic:

DE-SC-0004645: Fiscal Year 2013 Integrated Safety Management System Performance Objectives, Measures and Commitments for URS | CH2M Oak Ridge LLC (UCOR)

Reference: Letter from James D. Kopotic to Leo H. Sain, *Approval of Integrated Safety Management System Performance Objectives, Measures, and Commitments for URS | CH2M Oak Ridge LLC for Fiscal Year 2013*, dated November 8, 2012 (DOE-12-0480) (H-01017-0027).

Enclosed are the UCOR Integrated Safety Management System (ISMS) Performance Objectives, Measures and Commitments (POMCs) for June 2013. The report reflects the approved POMCs as noted in the referenced letter.

UCOR is now including an additional suite of POMCs for nuclear safety culture and work planning and control which are provided as leading indicators. These POMCs include two performance objectives. The first, "Maintain a Healthy Nuclear Safety Culture," has five supporting metrics. The second, "Maintain Effective Work Planning and Control Process," has four supporting metrics. The work planning and control metrics measure performance in the Operations area.

There were five first-aid injuries in June and two recordable cases, one of which resulted in a Lost Workday Case Away (LWCA). The first recordable case involved an Electrical Foreman who tripped on a screw jack in the K-25 Building and fell, injuring his shoulder. The LWCA case involved a Waste Disposition (WD) employee who was entering his pick-up truck on the driver's side and felt a "pop" in his left knee. Both employees were evaluated by UCOR Health Services and provided medical limitations. WD Project Supervision indicated they could not accommodate the limitations imposed for their employee.

The Total Recordable Case (TRC) rate and the Days Away Restricted or Transferred (DART) case rate are each reported as 12-month rolling averages beginning with October 2012. At the end of the month, the 12-month rolling average TRC rate was 0.82 and the DART case rate was 0.41. Although the TRC rate rose at the end of June, it remains below the goal of 1.0. The DART rate continued to be relatively stable although it remained slightly above the goal of 0.33 again in June.

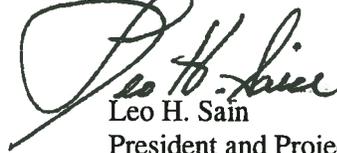
Some of the injuries experienced in June resulted from what would be considered "routine activities" (e.g., entering/exiting vehicles; walking up/down stairs). As a result, UCOR presented

Mr. James D. Kopotic
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July 11, 2013

a company-wide combined Safety Focus/After-the-holiday presentation with emphasis placed on maintaining "situational awareness." Loss of situational awareness is recognized as one of the primary causes of injuries and operational incidents. Maintaining situational awareness requires: (a) focus on complying with procedures and work control documents; (b) being alert to changing conditions and circumstances; (c) evaluating what could go wrong; and (d) speaking up when something is wrong, confusing, or questionable.

If you have any questions, please contact Libby Gilley at 865-241-5312.

Sincerely,



Leo H. Sain
President and Project Manager

LHS:EFG

Enclosure (1): As stated

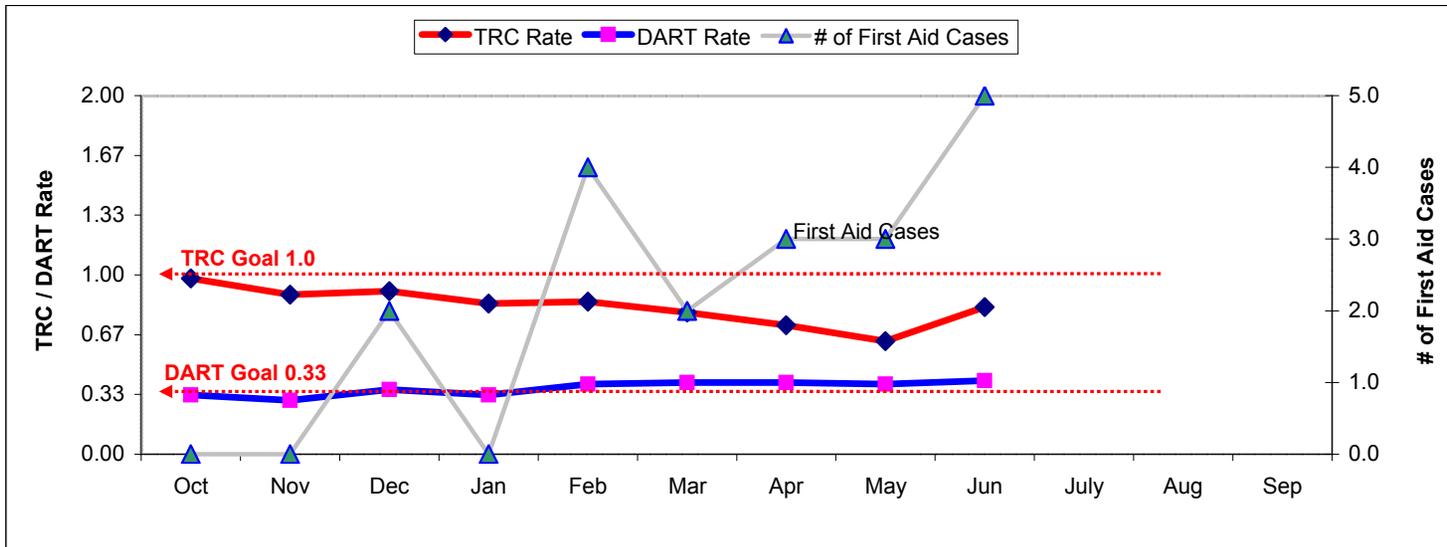
c/enc: T. B. Allen, DOE-ORO
J. A. Armstrong, DOE-ORO
C. D. Cabbil
S. M. Cange, DOE-ORO
S. A. Clemons, DOE-ORO
H. H. Cloar, DOE-ORO
K. A. Deacon, DOE-ORO
E. F. Gilley
J. L. Harness, DOE-ORO
M. J. Hitchler
R. S. Josey
S. H. Kimmerly

A. D. McGill
W. G. McMillan, DOE-ORO
J. A. Mullis, DOE-ORO
C. J. Pilj, DOE-ORO
D. J. Sansotta
B. D. Smith
J. M. Whitney, DOE-ORO
L. O. Wilkerson, DOE-ORO
File-LHS
File-DMC-RC
File-ORO EM Mailroom

UCOR ISMS
Performance Objectives, Measures and Commitments

Subject Matter Area	Proposed ISMS Performance Measures - June 2013					
Safety & Industrial Hygiene	TRC Rate	DART Rate	# of First Aid Cases	IH - # of Individuals Exposed to ≥ 50% OEL	IH - Max % OEL	IH - # of Events of Individual Exposures
	Control of Hazardous Energy	Electrical				
Radiation Control	# of Personal Contamination Events	Cumulative & Qtly TLD Dose				
EC&P	Written Noncompliances	Reportable Releases				
Nuclear & Criticality Safety	# of Safety Basis or TSR Violations	ACRs by Severity Level	Timely Closure of ACRs			
Quality	Corrective Action Completion					
Nuclear Safety Culture	PAPC	Field Presence	IC/WC	Employee Concerns	DPOs	IWCP
G	Performance Meets Expectations					
Y	Performance Needs Management Attention					
					Improved Performance	
					Stable	
					Decreased Performance	

FY 2013
12-Month Rolling Average
TRC/DART Case Rate
UCOR Direct Hires and Subcontractors



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	July	Aug	Sep
TRC Rate	0.98	0.89	0.91	0.84	0.85	0.79	0.72	0.63	0.82			
Performance	Green	Green	Green	Green	Green	Green	Green	Green	Green			
DART Rate	0.33	0.30	0.36	0.33	0.39	0.40	0.40	0.39	0.41			
Performance	Green	Green	Yellow	Green	Yellow	Yellow	Yellow	Yellow	Yellow			
# of First Aid Cases	0	0	2	0	4	2	3	3	5			

Definitions

TRC Rate — OSHA defined number of recordable cases during the reporting month. Case rate normalized over 200,000 hours. This is reported as a 12-month rolling average beginning October 2012.

DART Case Rate — Number of Days Away or Restricted Transfer during the reporting month. Case Rate normalized over 200,000 hours. This is reported as a 12-month rolling average beginning October 2012.

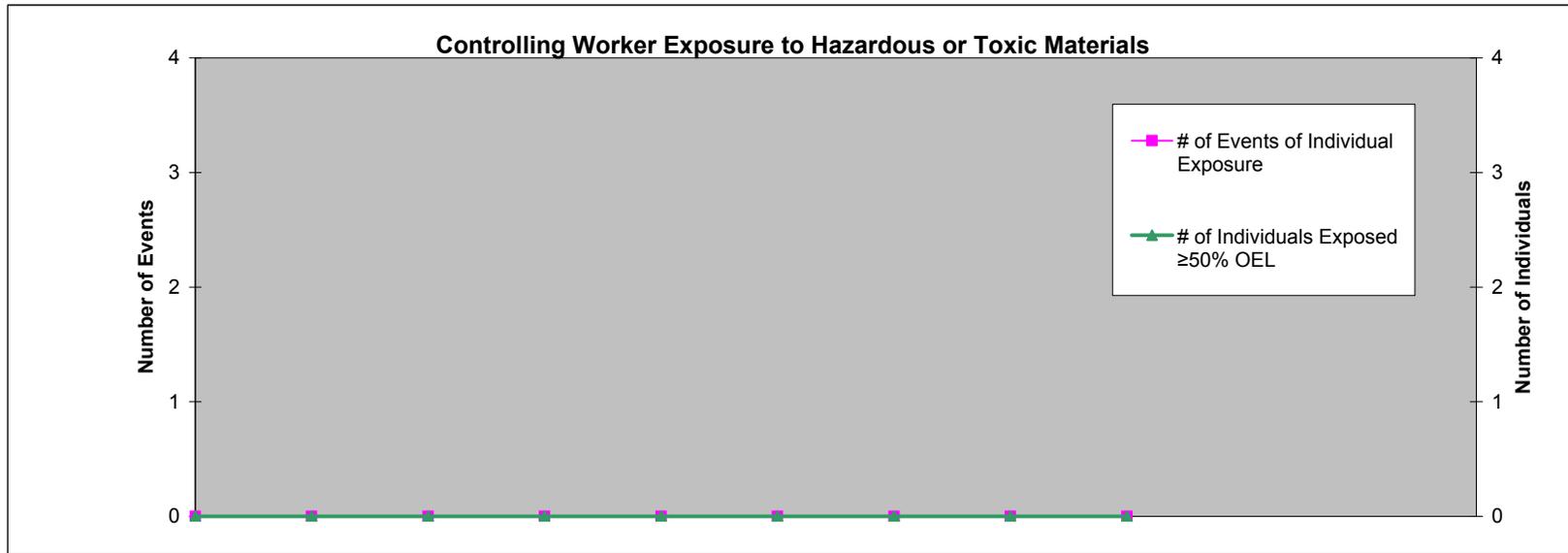
Analysis: There were five first aid injuries in June and two TRC cases, one of which resulted in a Lost Workday Case Away (LWCA). The first case involved an Electrical Foreman who tripped on a screw jack in the K-25 building and fell, injuring his shoulder. The LWCA case involved a Waste Disposition (WD) employee who was entering his pick-up truck on the driver's side and felt a "pop" in his left knee. Both employees were evaluated by UCOR Health Services and provided medical limitations. WD Project Supervision indicated they could not accommodate the limitations imposed for their employee.

Action: Some of the injuries experienced in June resulted from what would be considered "routine activities" (e.g., entering/exiting vehicles; walking up/down stairs). As a result, UCOR presented a company-wide combined Safety Focus/After-the-holiday presentation with emphasis placed on maintaining "situational awareness." Loss of situational awareness is recognized as one of the primary causes of injuries and operational incidents. Maintaining situational awareness requires: (a) focus on complying with procedures and work control documents; (b) being alert to changing conditions and circumstances; (c) evaluating what could go wrong; and (d) speaking up when something is wrong, confusing, or questionable.

Key	Performance
Green: TRC Goal = 1.0	↔
Yellow: Needs Management Attention	
Green: DART Goal = 0.33	↔
Yellow: Needs Management Attention	
# of First Aid Cases = No Goal	N/A

Owner: Angie McGill
Performance Indicator Type: Lagging
Data Source: Safety and Health

FY 2013 Industrial Hygiene



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
# of Individuals Exposed ≥50% OEL	0	0	0	0	0	0	0	0	0			
Performance	Green	Green	Green	Green	Green	Green	Green	Green	Green			
Maximum % OEL	2%	2%	<1%	1%	8%	3%	5%	3%	17%			
Agent for OEL	Noise	Noise	Noise	Asbestos	Noise	Noise	Asbestos	Noise	Silica			
# of Events of Individual Exposure	0	0	0	0	0	0	0	0	0			
Performance	Green	Green	Green	Green	Green	Green	Green	Green	Green			

Definitions:
 1) Number of individuals exposed to ≥50% of Occupational Exposure Limit (OEL) for any stressor agent.

 % OEL is calculated by taking each time-weighted average, then dividing by the respective OEL, then multiplying by 100. % OEL obtained from evaluation of approved industrial hygiene sampling surveys. The maximum % OEL takes into account the applicable protection factor of PPE used.

 2) Number of events of individual exposure to hazardous or toxic materials without appropriate personal protective equipment or procedures in place.

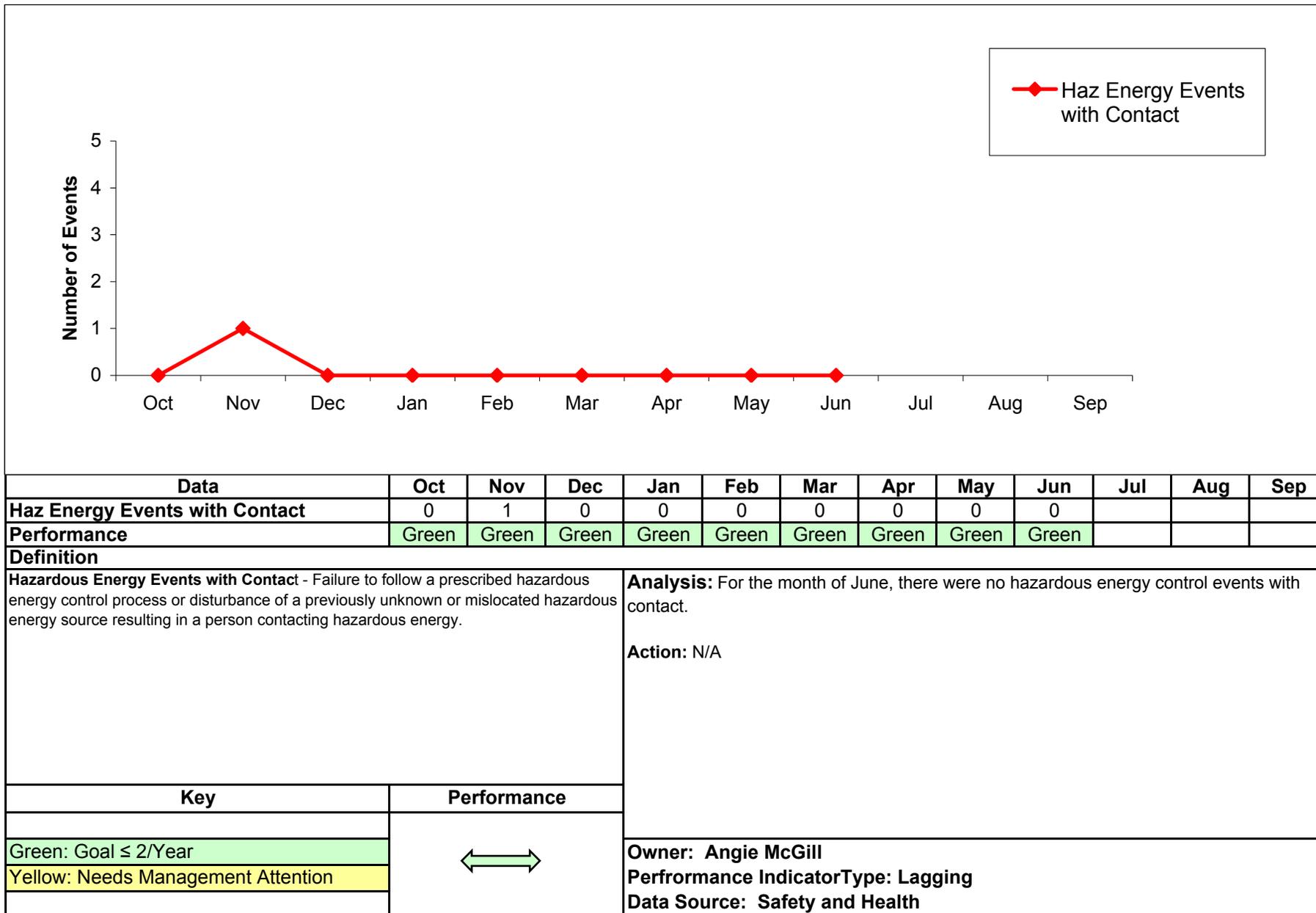
Analysis: In June, the maximum % OEL was 17% for silica. There were no events of individual exposure to hazardous or toxic materials without benefit of protection.

Action: N/A

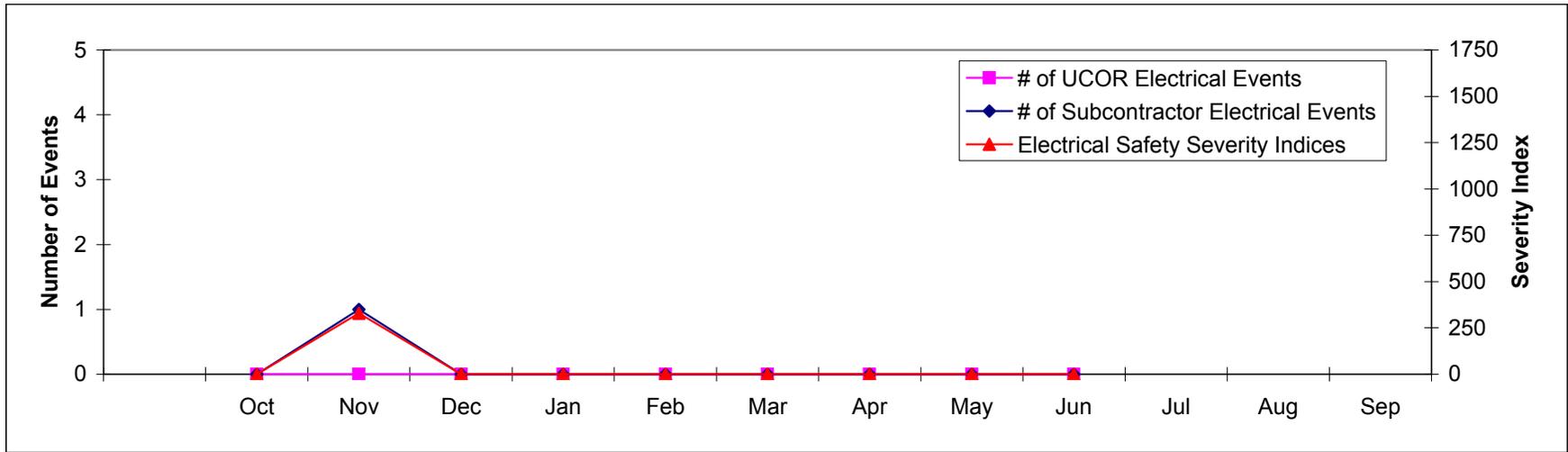
Key	Performance
(1) Green: Goal = 0	↔
Yellow: Needs Management Attention	
(2) Green: Goal ≤ 2/Year	↔
Yellow: Needs Management Attention	

Owner: Angie McGill
Performance Indicator Type: Lagging
Data Source: Safety and Health

FY 2013 Control of Hazardous Energy



FY 2013 Electrical Events



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
# of UCOR Electrical Events	0	0	0	0	0	0	0	0	0			
# of Subcontractor Electrical Events	0	1	0	0	0	0	0	0	0			
Electrical Safety Severity Indices	N/A	330	N/A									
Performance	Green											

Definitions

of Electrical Events: Number of electrical safety related events as reported in ORPS. These will be reported with severity index.

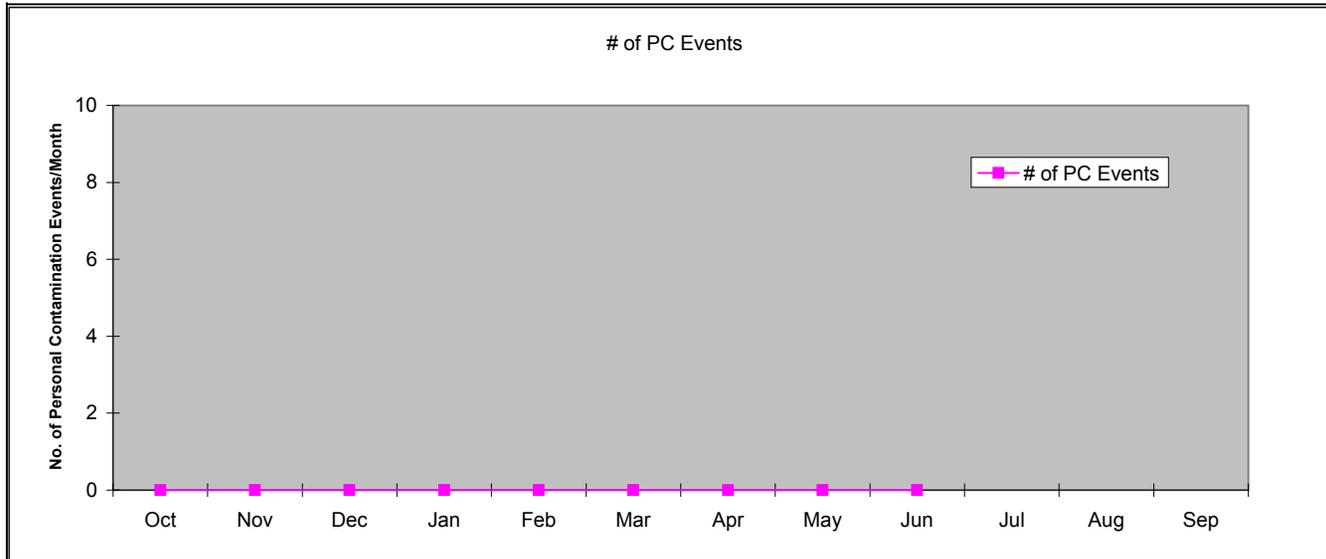
Electrical Safety Severity Indices: (Period score and cumulative fiscal year score) Measure of the severity of an electrical energy event based on an evaluation of a series of electrical factors. Primary factors include: electrical hazard, environment, shock proximity, arc flash proximity, thermal proximity, and any resulting injury to affected personnel.

Analysis: There were no electrical safety related events as reported in ORPS in June 2013.

Action: N/A

Key	Performance	
Green: Goal ≤ 2/Year	↔	Owner: Arnie Gutmanis Performance Indicator Type: Lagging Data Source: Electrical
Yellow: Needs Management Attention		

FY 2013 Personal Radiological Contamination Events



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
# of PC Events	0	0	0	0	0	0	0	0	0			
Performance	Green											

Definitions

Personal Contamination Events are recorded when an individual is identified with radioactive material (non-radon) on skin or personal clothing at levels given below. Personal clothing includes modesty garments whether furnished by the individual or company supplied.

Onsite Event- Contamination exceeds 5X the values for total contamination found in 10 CFR 835, Appendix D. The contamination must be based on direct measurement and not averaged over any area. This criterion does not apply to tritium contamination.

Offsite event - Contamination exceeds the value for total contamination found in 10 CFR 835, Appendix D. The contamination must be based on direct measurement and not averaged over any area. For tritium, use the values for removable contamination found in Appendix D.

Contamination events attributed to Tc-99 will only be reported until a performance history is established.

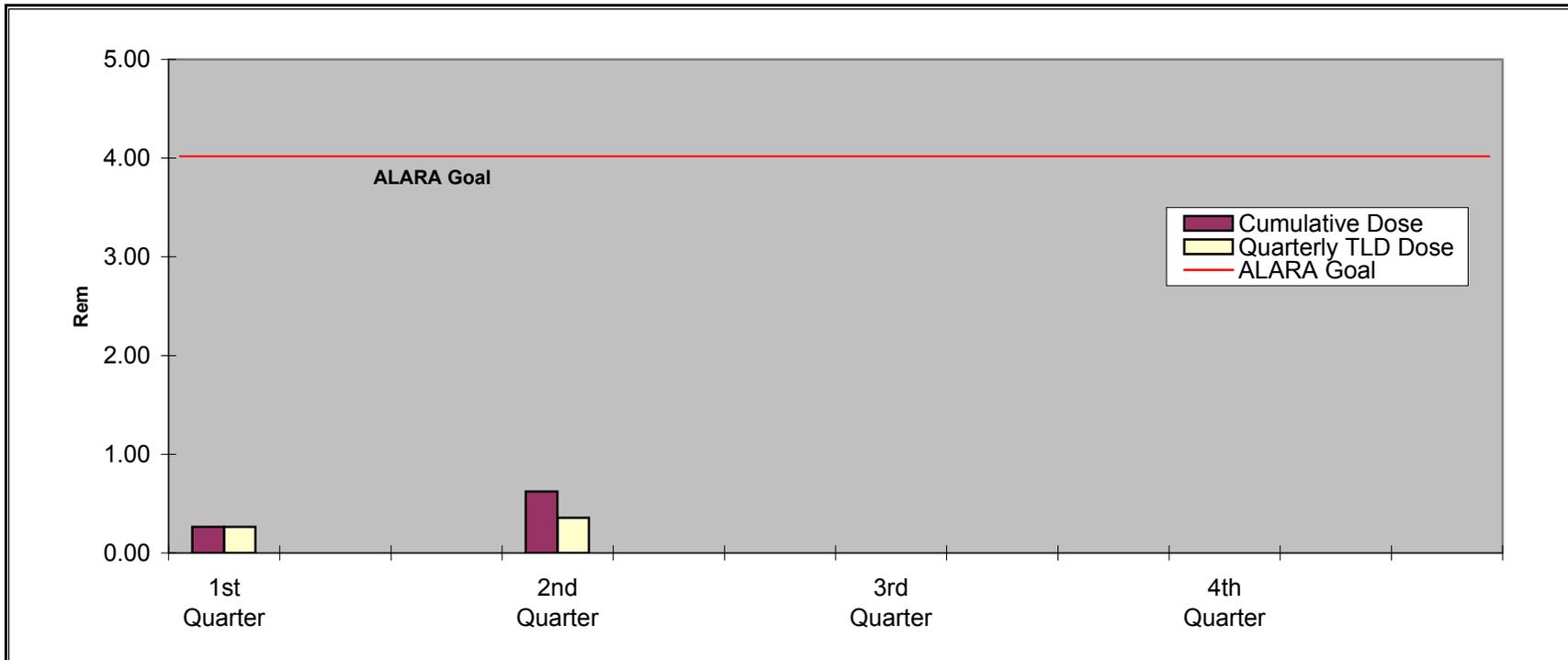
Analysis: There were no personal contamination events exceeding the reporting criteria on UCOR projects during the month of June.

Action: N/A

Key	Performance
Green: Goal ≤ 5/Year	↔
Yellow: Needs Management Attention	

Owner: Rodney Bauman
Performance Indicator Type: Lagging
Data Source: Radiological Protection

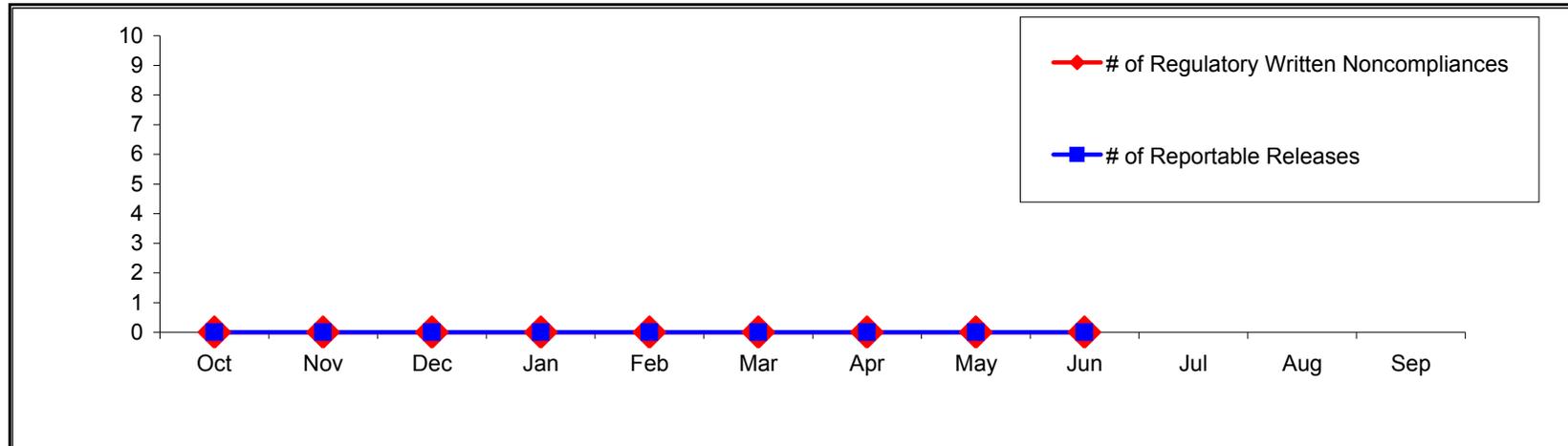
FY 2013 Cumulative Dose vs. ALARA Goal



Data	1st Quarter	2nd Quarter	3rd Quarter	4th Quarter
Cumulative Dose	0.27	0.62		
Performance	Green	Green		
Quarterly TLD Dose	0.27	0.36		
Performance	Green	Green		
ALARA Goal	4	4	4	4

Definition						
<p>Cumulative Dose is the sum of the TLD dose for UCOR and subcontractor employees on a quarterly basis. The goal may be adjusted ± based on documented ALARA and progress reviews after DOE review and approval.</p>	<p>Analysis: The primary contributors to the 2nd quarter FY 2013 dose are ORNL Facility Ops/S&M with 196 mrem and ORNL Waste Ops with 161 mrem. The maximum individual dose was 34 mrem from ORNL Waste Ops.</p> <p>Action: N/A</p>					
<table border="1"> <thead> <tr> <th>Key</th> <th>Performance</th> </tr> </thead> <tbody> <tr> <td>Green: Goal ≤ 4 Rem/Year</td> <td rowspan="2" style="text-align: center;">↔</td> </tr> <tr> <td>Yellow: Needs Management Attention</td> </tr> </tbody> </table>	Key	Performance	Green: Goal ≤ 4 Rem/Year	↔	Yellow: Needs Management Attention	<p>Owner: Rodney Bauman Performance IndicatorType: Lagging Data Source: Radiological Protection</p>
Key	Performance					
Green: Goal ≤ 4 Rem/Year	↔					
Yellow: Needs Management Attention						

FY 2013 Environmental Compliance and Protection



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
# of Regulatory Written Noncompliances	0	0	0	0	0	0	0	0	0			
Performance	Green											
# of Reportable Releases	0	0	0	0	0	0	0	0	0			
Performance	Green											

Definitions

(1) Number of Regulatory Written Noncompliances: Written notice from an outside environmental regulatory agency indicating a contractor facility or activity is considered to be in noncompliance with an environmental compliance schedule or requirement.

(2) Number of Reportable Releases: Reports to Federal, State, or local agencies/authorities initiated due to releases exceeding allowable limits in other than routine reports.

The scope of this performance objective includes compliance with RCRA, TSCA, CAA, CWA, and EPCRA regulations for onsite work activities. Written noncompliances that are caused by lack of funding, releases from legacy contamination, actions of other DOE prime contractors/tenants or are not otherwise caused by the contractor are not within the scope of this objective. Noncompliances that are formally disputed or contested by DOE to regulatory agencies are not included until they are formally resolved.

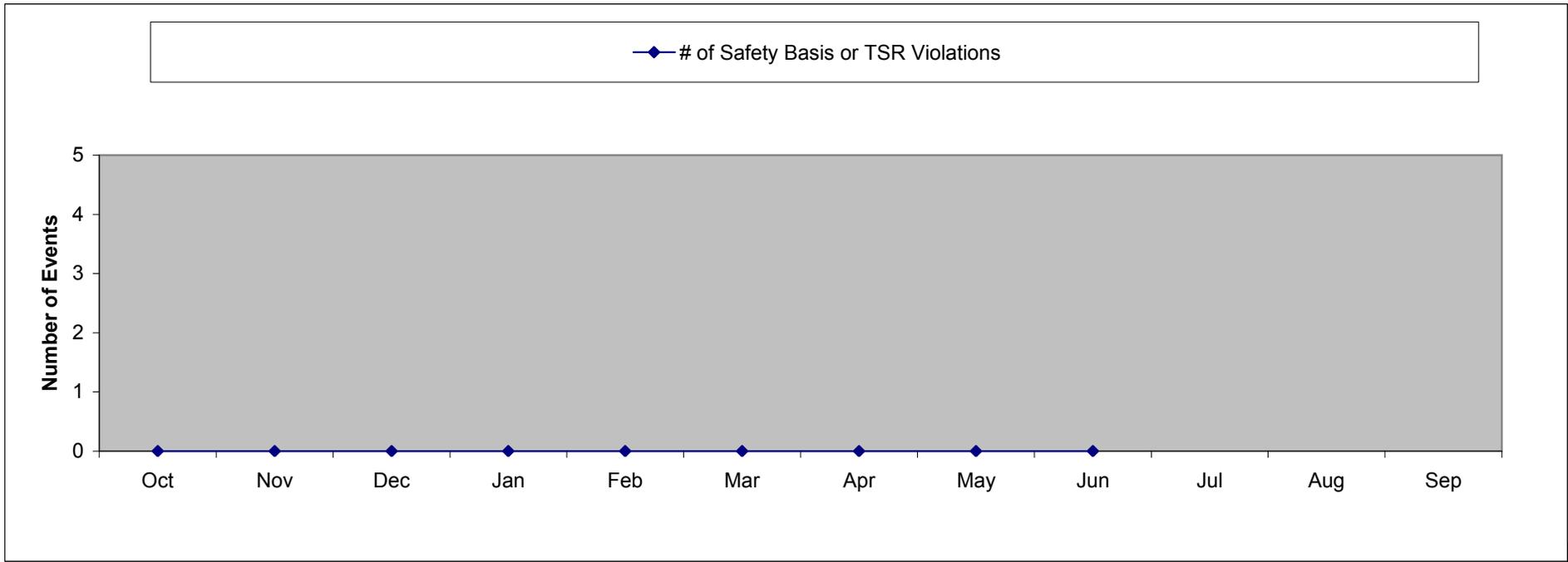
Analysis: During June 2013, UCOR and its subcontractors experienced zero (0) written Environmental Noncompliance notices from outside regulatory agencies and zero (0) reportable releases.

Action: No actions were required during the June 2013 reporting period.

Key	Performance
(1) Green: Goal ≤ 1/Year	↔
Yellow: Needs Management Attention	
(2) Green: Goal ≤ 1/Year	↔
Yellow: Needs Management Attention	

Owner: Rodney Kingrea
Performance Indicator Type: Lagging
Data Source: Environmental Compliance & Protection

FY 2013 Nuclear Facility Safety



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
# of Safety Basis or TSR Violations	Green											
Performance	0	0	0	0	0	0	0	0	0			

Definition:
 1) Safety Basis or TSR Violations per month

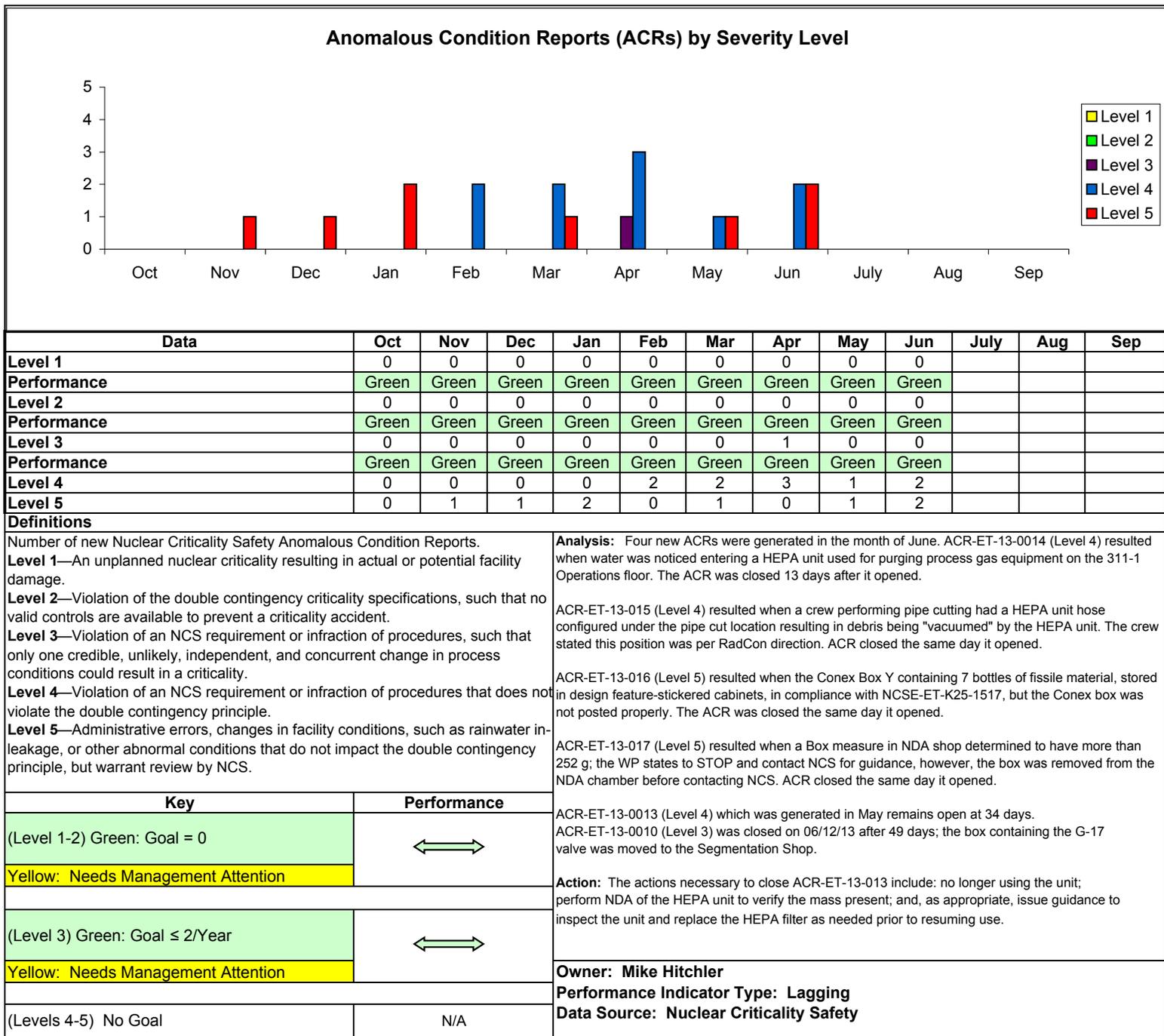
Analysis: No Safety Basis or TSR violations occurred in June 2013.

Action: N/A

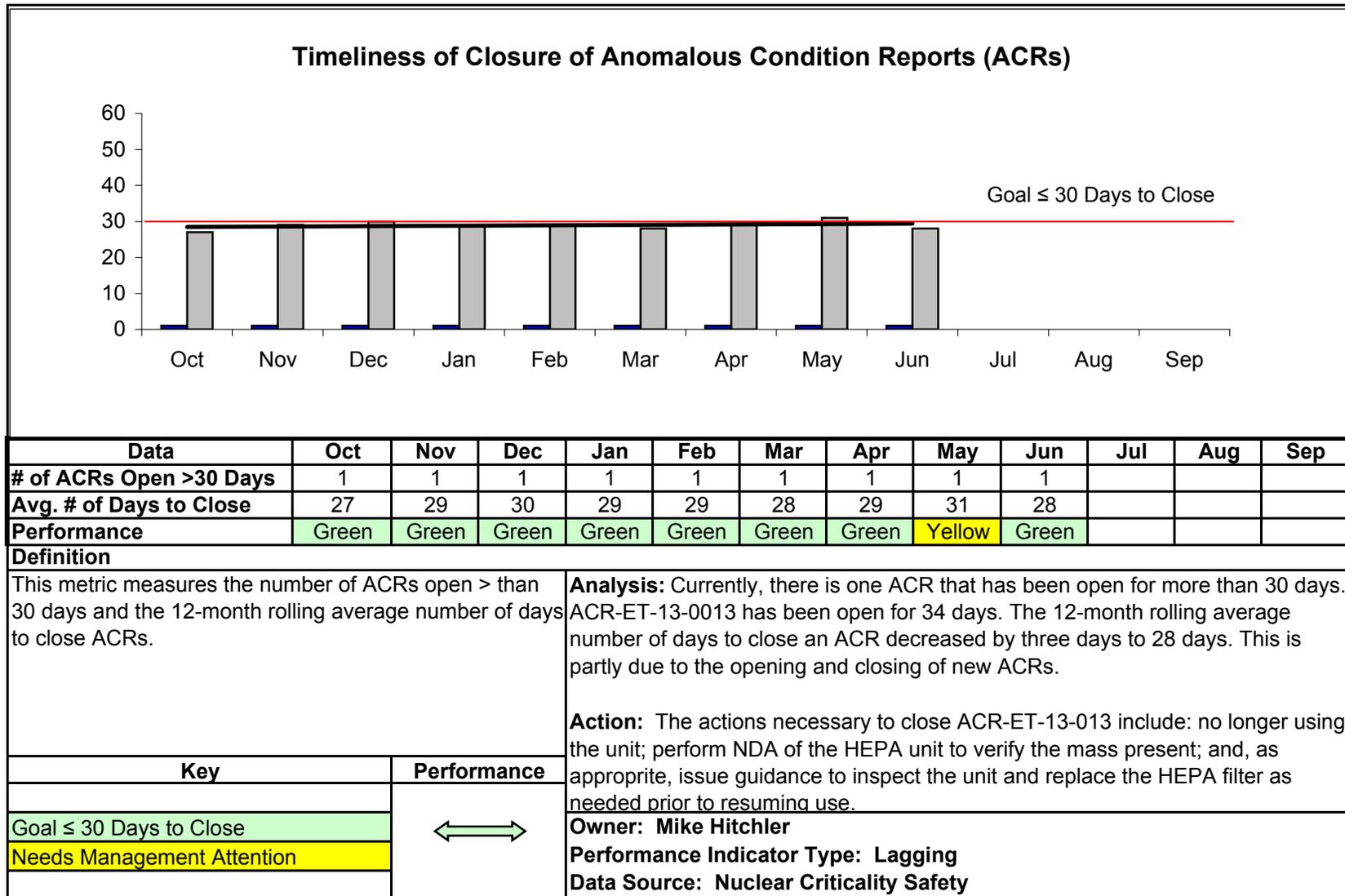
Key	Performance
Green: Goal ≤ 2/Year	↔
Yellow: Needs Management Attention	

Owner: Mike Hitchler
Performance Indicator Type: Lagging
Data Source: Nuclear Facility Safety

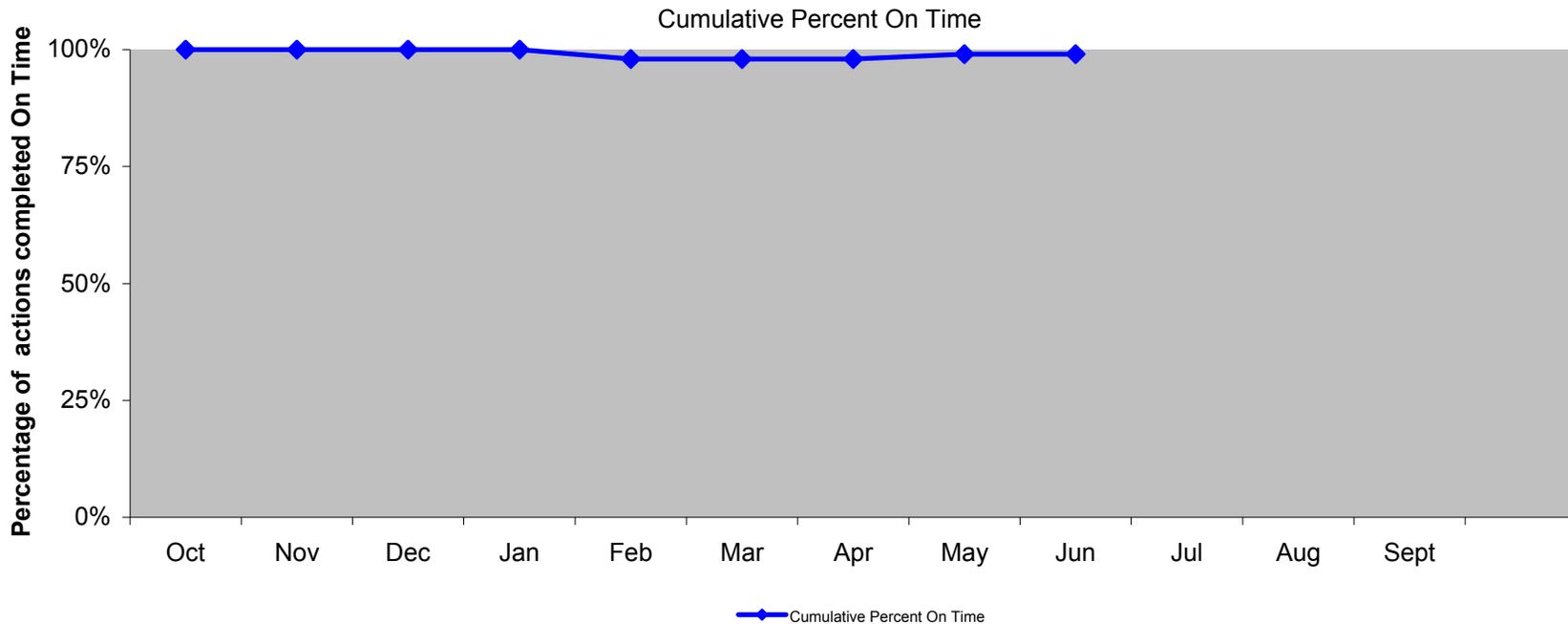
FY 2013 Nuclear Criticality Safety



FY 2013 Nuclear Criticality Safety



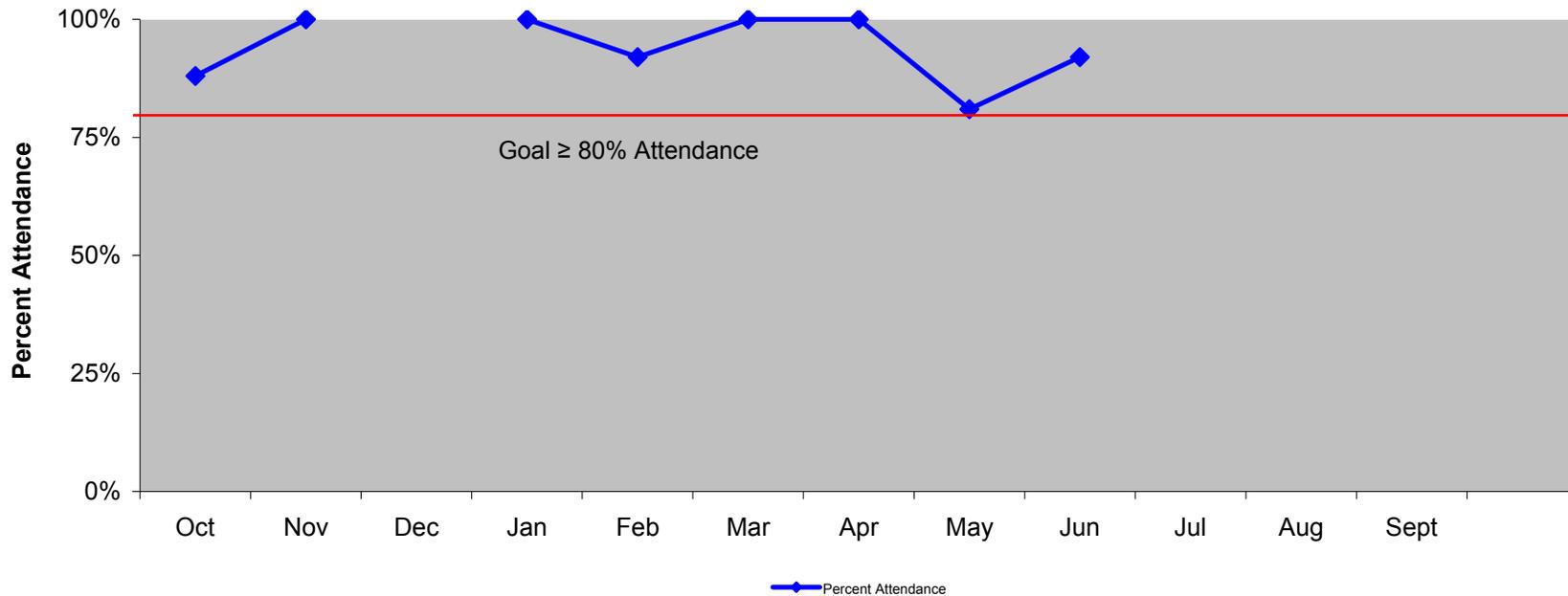
FY 2013 Corrective Actions for DOE Generated Issues/Findings Completed On Time



	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept
Cumulative Percent On Time	100%	100%	100%	100%	98%	98%	98%	99%	99%			
Performance	Green											

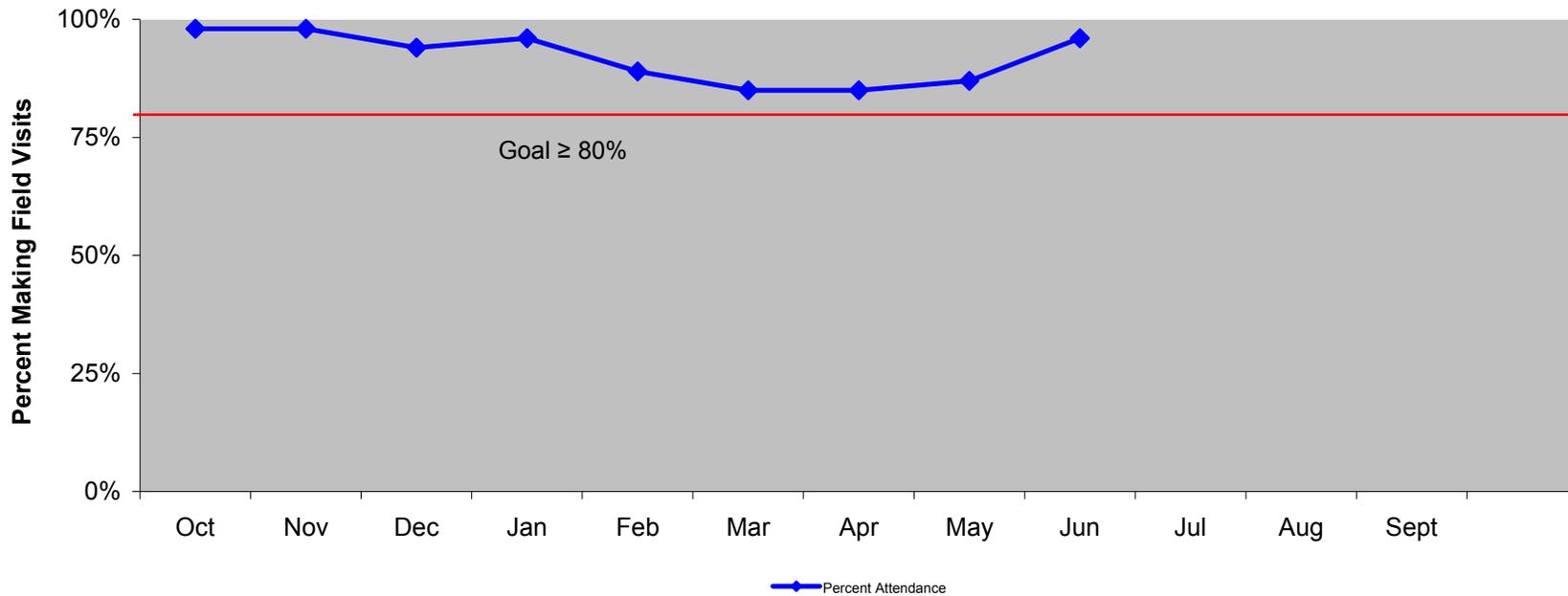
Definition	
<p>This metric measures the 12-month cumulative percentage of corrective actions for DOE generated issues/findings completed on time. (Allowance made for due dates extended with DOE approval.)</p>	<p>Analysis: There were twenty-one (21) DOE related corrective actions due during the month of June 2013. All were completed on time. Five (5) additional actions due in July/August timeframe were closed early.</p> <p>During the last twelve months, there have been one hundred (124) corrective actions from DOE issues closed. All but one (1) of the corrective actions has been completed on time.</p>
Key	Action: N/A
Performance	Owner: Susan Kimmerly
Green: Goal ≥ 90%	Performance Indicator Type: Leading
Yellow: Needs Management Attention	Data Source: QA

FY 2013 President's Accident Prevention Council Meeting Attendance



	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept
Percent Attendance	88%	100%		100%	92%	100%	100%	81%	92%			
Performance	Green	Green		Green	Green	Green	Green	Green	Green			
Definition												
This metric measures the percentage of UCOR designated senior managers in attendance at the monthly President's Accident Prevention Council (PAPC) meeting.				<p>Analysis: No meeting was held in December 2012; regularly scheduled meetings were initiated again in January 2013.</p> <p>Action:</p>								
Key			Performance									
Green: Goal ≥ 80%			↔			<p>Owner: Cheryl Cabbil</p> <p>Performance Indicator Type: Leading</p> <p>Data Source: ESH&Q</p>						
Yellow: Needs Management Attention												

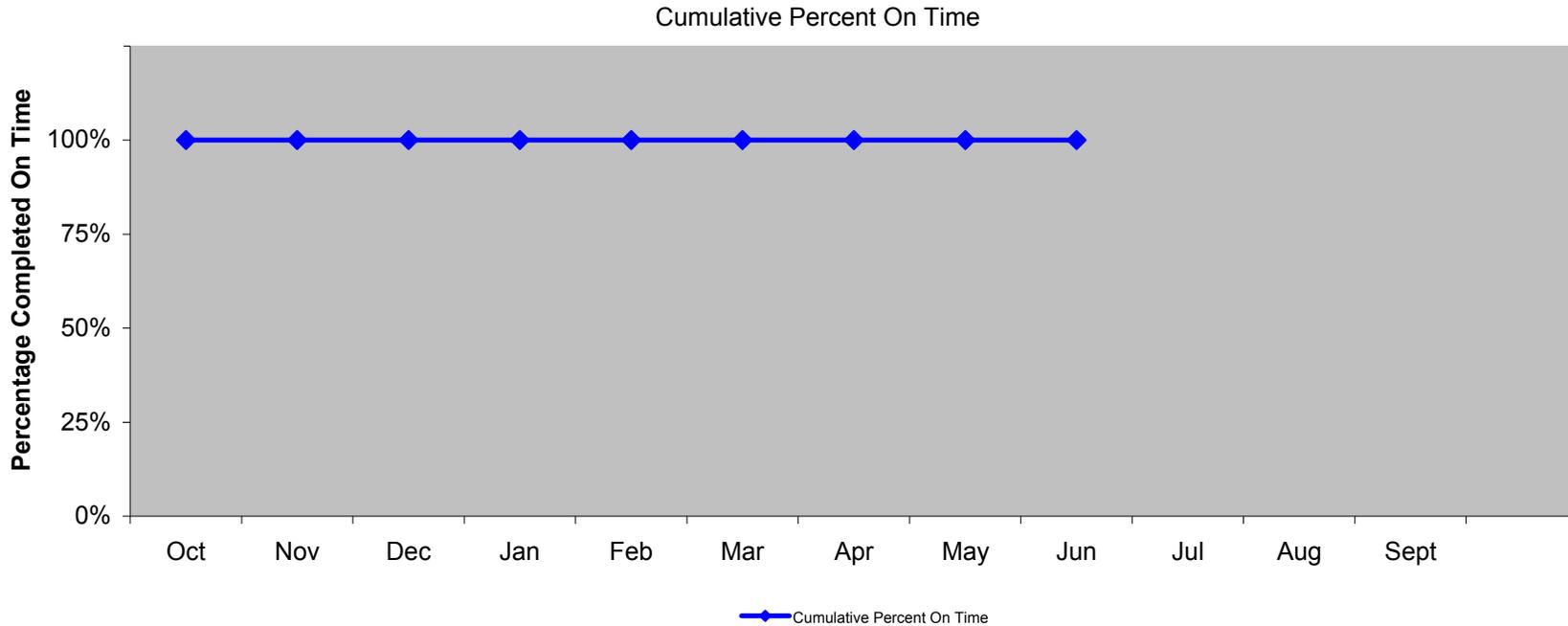
FY 2013 UCOR Management Field Presence



	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept
Percent Attendance	98%	98%	94%	96%	89%	85%	85%	87%	96%			
Performance	Green	Green	Green	Green	Green	Green	Green	Green	Green			
Definition												
This metric measures the percentage of UCOR Level I and Level II managers making field visits.				<p>Analysis: In June, the percentage of UCOR Level 1 and Level II managers making field visits remains above the goal of ≥ 80%.</p> <p>Action: N/A</p>								
Key		Performance										
Green: Goal ≥ 80%		↔		<p>Owner: Cheryl Cabbil Performance Indicator Type: Leading Data Source: ESH&Q</p>								
Yellow: Needs Management Attention												

FY 2013

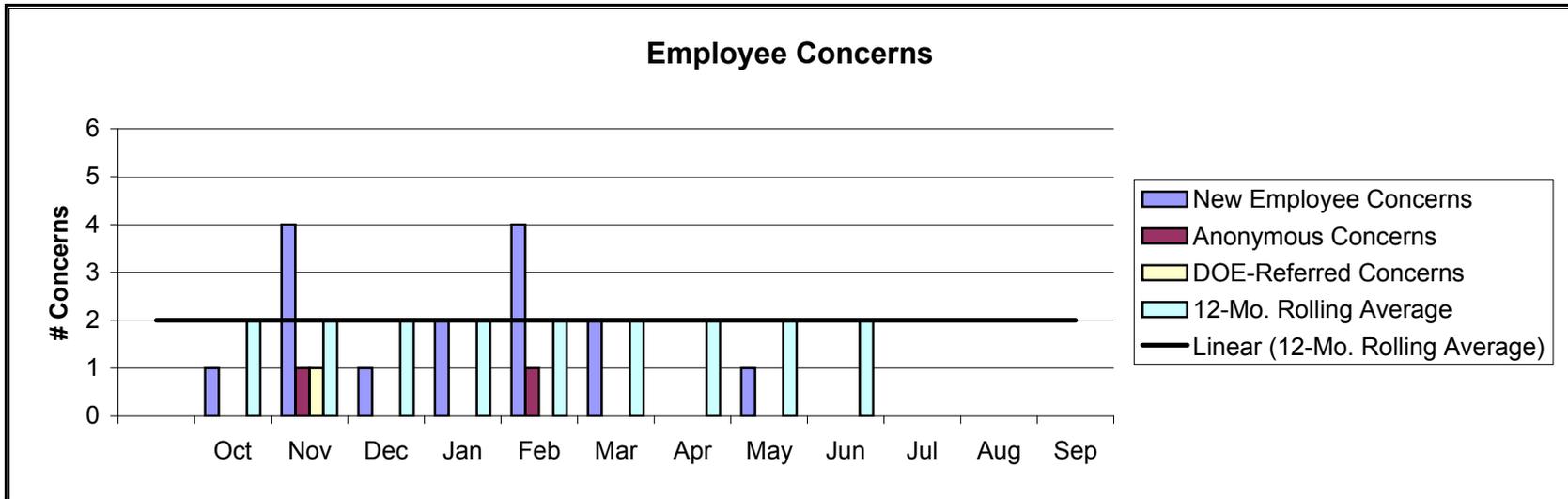
I Care/We Care Issues Completed On Time



	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept
Cumulative Percent On Time	100%	100%	100%	100%	100%	100%	100%	100%	100%			
Performance	Green											

Definition		
This metric measures the 12-month cumulative percentage of IC/WC issues closed within 30 days.		<p>Analysis: At the end of June 2013, the 12-month cumulative percentage remains constant; all IC/WC issues have been closed within 30 days.</p> <p>Action: N/A</p>
Key	Performance	
Green: Goal ≥ 90%	↔	<p>Owner: Susan Kimmerly Performance Indicator Type: Leading Data Source: QA</p>
Yellow: Needs Management Attention		

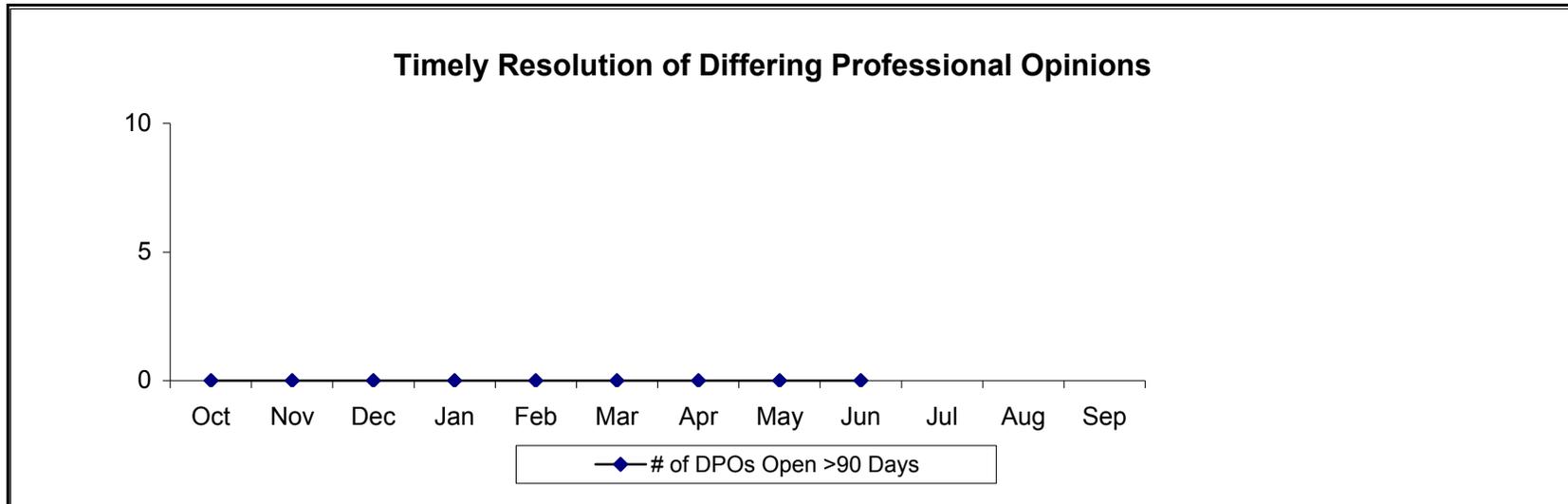
FY 2013 Employee Concerns



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
New Employee Concerns	1	4	1	2	4	2	0	1	0			
Anonymous Concerns	0	1	0	0	1	0	0	0	0			
DOE-Referred Concerns	0	1	0	0	0	0	0	0	0			
12-Mo. Rolling Average	2	2	2	2	2	2	2	2	2			

Definition	
Number of New Employee Concerns	Analysis: One concern closed from previous month less than 30 days. No new concerns received. Action: N/A
Number of Anonymous New Employee Concerns	
Number of DOE-Referred New Employee Concerns	
12-Month Rolling Average of No. of Employee Concerns	
No Goal	Performance
	Owner: Sandra Rhodes Performance Indicator Type: Leading Data Source: Employee Concerns Program

FY 2013 Timely Resolution of Differing Professional Opinions



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep
# of DPOs	0	0	0	0	0	0	0	0	0			
# of DPOs Open >90 Days	0	0	0	0	0	0	0	0	0			
Performance	Green											

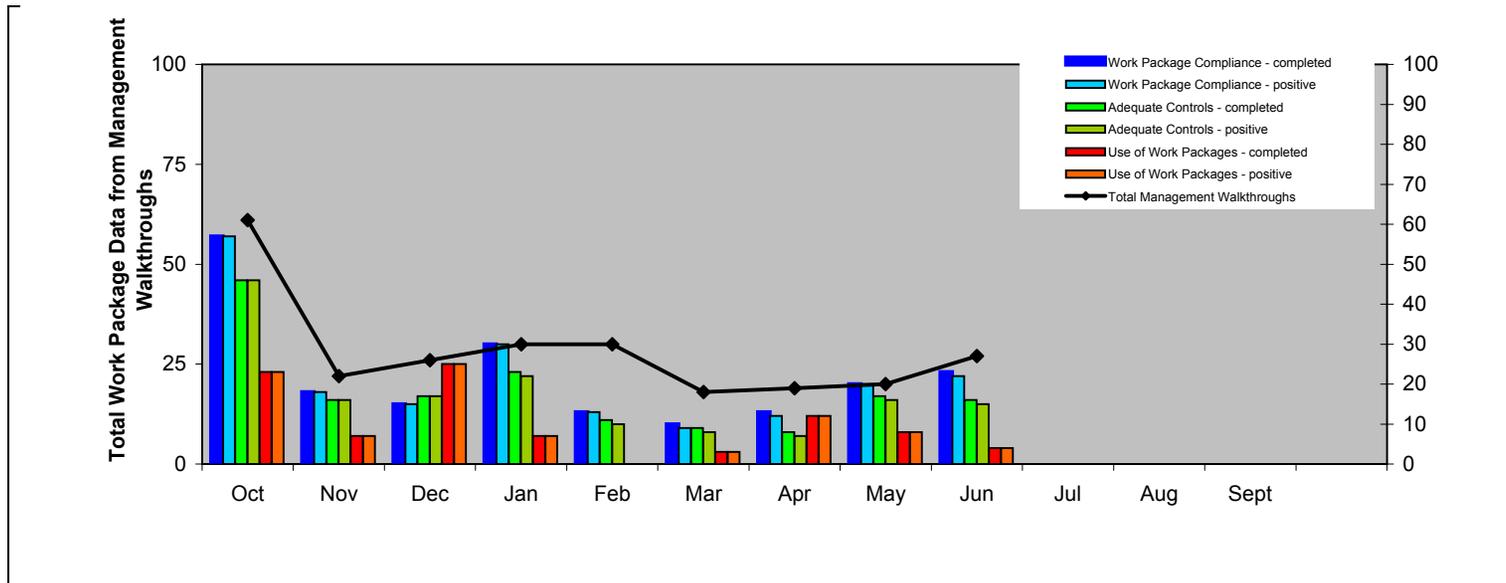
Definition

<p>Number of Differing Professional Opinions (DPOs) submitted and the number of DPOs open greater than 90 days following submission.</p>	<p>Analysis: There were no DPOs reported in June 2013.</p> <p>Action: N/A</p>
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Key	Performance
No Goal for # of DPOs	
For DPOs open > 90 days:	
Goal ≤ 2 per Year	
Needs Management Attention	

Owner: Mike Hitchler
Performance Indicator Type: Leading
Data Source: Engineering and Nuclear Safety Organization

FY 2013 Operations S and M Program - Percentage of New Work Packages for Which Worker Involvement in the Work Planning Process Has Been Verified



Data	Oct	Nov	Dec	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sept
Work Package Compliance - completed	57	18	15	30	13	10	13	20	23			
Work Package Compliance - positive	57	18	15	30	13	9	12	20	22			
Performance	Green											
Adequate Controls - completed	46	16	17	23	11	9	8	17	16			
Adequate Controls - positive	46	16	17	22	10	8	7	16	15			
Performance	Green											
Use of Work Packages - completed	23	7	25	7	0	3	12	8	4			
Use of Work Packages - positive	23	7	25	7	0	3	12	8	4			
Performance	Green											
Total Management Walkthroughs	61	22	26	30	30	18	19	20	27			
Performance	Green											

Definition											
<p>Management Walkthroughs (MW) provide data for metric. MWs are used for several reasons and may not always provide data applicable to this metric.</p> <p>"Work Package Compliance" = developed WP complying with the requirements and expectations of the Work Control Program (IWCP).</p> <p>"Adequate Controls" = measure of accuracy of the hazard identification process</p> <p>"Use of Work Package" = measurement of proper implementation of the WP.</p> <p>Additionally, the total number of MWs performed is provided indicating management presence in the field.</p>	<p>Analysis: There were 27 Management Walkthroughs in June 2013. 96% of work packages reviewed were compliant with the requirements and expectations of the Integrated Work Control Program.</p> <p>94% of work packages included adequate controls as determined in the hazard identification process. Four work packages were reviewed for proper implementation and all were found to be positive.</p> <p>Action: N/A</p>										
<table border="1"> <thead> <tr> <th>Key</th> <th>Performance</th> </tr> </thead> <tbody> <tr> <td>WP Goals = 85% Positive</td> <td></td> </tr> <tr> <td>Needs Management Attention</td> <td>↔</td> </tr> <tr> <td>Presence in Field Goal ≥ 15/Month</td> <td></td> </tr> <tr> <td>Needs Management Attention</td> <td></td> </tr> </tbody> </table>	Key	Performance	WP Goals = 85% Positive		Needs Management Attention	↔	Presence in Field Goal ≥ 15/Month		Needs Management Attention		<p>Owner: Kraig Oldfield</p> <p>Performance Indicator Type: Leading</p> <p>Data Source: Operations</p>
Key	Performance										
WP Goals = 85% Positive											
Needs Management Attention	↔										
Presence in Field Goal ≥ 15/Month											
Needs Management Attention											