



# **Briefing on the Department of Energy's Corrective Action Management Program**

**Office of Independent Environment, Safety and Health Oversight**

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## Corrective Action Management Program

### Background

- ⇒ DNFSB Issued Recommendation 98-1 (9/98)
- ⇒ DOE Implementation Plan Published (3/99)
- ⇒ CAM Team Supports and Coordinates Corrective Action Management Program
- ⇒ CATS is Used to Track and Report Status of Corrective Actions
- ⇒ Status of the Program is Reported Quarterly to DOE Senior Management



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## Corrective Action Management Program

### Implementation

- ⇒ Provided Comprehensive and Systematic Program
- ⇒ Established Clear Lines of Authority and Responsibilities
- ⇒ Established Process to Track and Report Corrective Actions
- ⇒ Enhanced Communications Between Line Management and Oversight
- ⇒ More Effective Follow-up by Line Management



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## Corrective Action Management Program

### Appraisal Activities

#### Types Of Assessments

- ⇒ Office Of Independent ES&H Oversight (EH-2) Appraisals (39)
- ⇒ Type A Accident Investigations (16)
- ⇒ Office of Independent Oversight and Performance Assurance Emergency Management (OA-1) Assessments (20)
- ⇒ High Efficiency Particulate Air (HEPA) Line Management Self-Assessments (6)
- ⇒ Nuclear Criticality Safety Line Management Self-Assessments (4)

Other Types of Assessments Require Request in Writing



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## Corrective Action Management Program

### Coordination with Line Management

- ⇒ Increased Interest and Interaction with Line Management
- ⇒ Conduct and Results of Independent Oversight Appraisals
- ⇒ Review and Validation of Appraisals
- ⇒ Oversight Response to the Needs of Line Management



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## Corrective Action Management Program

### Improvement of Correction Action Plans

#### Quality of Corrective Action Plans Improved

- ⇒ Timeliness and Adequacy
- ⇒ Detail and Relevancy
- ⇒ Accuracy of Root Causes
- ⇒ Effectiveness of Actions

#### Follow-up of Inadequate Corrective Action Plans

- ⇒ Line Managers Contacted
- ⇒ Concerns and Specific Comments Provided
- ⇒ Managers Responsive to Concerns



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## Corrective Action Management Program

### Increased Follow-Up of Corrective Actions

Increased Effectiveness and Accuracy in Tracking and Reporting Corrective Actions

- ⇒ Several CATS Fields Modified
- ⇒ Formalized System to Notify Line Management of CAP Status
- ⇒ Information Used to Focus Site Follow-up Reviews
- ⇒ Selected Corrective Actions From Previous Safety Issues
- ⇒ Corrective Action Plan Reviews and Follow-up



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## Corrective Action Management Program

### Keep DOE Management Informed

- Dialogue and Follow-up with Line Managers
- DOE-Wide Quarterly Status Report
- Interaction with DOE Committees and Activities
- Provide Information and Analysis on Program Effectiveness



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## Corrective Action Management Program

### Summary

Corrective Action Management Program has Enhanced DOE Safety Management

Substantial Progress Made in Program Implementation

Line Management Responsive

Challenges Remain